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Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPECANT

of 17

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/712,474			
Filing Date	11.12.2003			
First Named Inventor	Pham, Duc			
Art Unit	2196			
Examiner Name	Debnath, Suman	_		
Attorney Docket Number	AESN3008CON1			

U. S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 of Innum}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear			
/SD/		^{US-} 6,061,650 A	05-09-2000	Malkin et al.	Col 4, lines 23-28.			
/SD/		^{US-} 5,564,106 A	10-08-1996	Puhl et al.	Col 2, lines 9-57;			
		US-			Col 4, lines 13-44.			
/SD/		US- 6,405,315 B1	06-11-2002	Burns et al.	Col 2, lines 28-44.			
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FOREIGN PATENT DOCUMENTS							
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Signature /Suman Debnath/ 09/05/2007	Examiner Signature	/Suman Debnath/	•	Date Considered	09/05/2007	
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Substitute for form	1449/PTO	Complete if Known		
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INFORMATION DISCLOSURE		Filing Date	11.12.2003	··
		First Named Inventor	Pham, Duc	
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit	2196	
		Examiner Name	Debnath, Suman	
01	-4 47	Attorney Docket Number	AESN3008CON1	

			U. S. PATENT	DOCUMENTS	
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/SD/		^{US-} 5,787,175	07-1998	Carter, Stephen	
7SD/		^{US-} 5,548,724	08-1996	Akizawa et al.	·
/SD/		US- 2001/0047400	11-29-2001	Coates et al.	
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	FOREIGN PATENT DOCUMENTS									
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INF	ORMATION	DIS	SCLOSURE	Filing Date	11.12.2003	
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Sheet	3	of	17	Attorney Docket Number	AESN3008CON1	

NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
/SD/		IBM Technical Disclosure Bulletin, "Real-Time Mechanism for Accounting And Network Access Control", April 1, 1994, Vol 37, Pages 337-340						
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Examiner /Suman Debnath/	Date Considered	09/05/2007
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	(Use as many sheets as necessary)	Examiner Name	Debnath, Suman		
abla	Sheet 4 of 17	Attorney Docket Number	AESN3008CON1		

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/SD/	1	^{US-} 5918074	06.29.1999	Wright et al.	
/SD/		US- 5872783	02.16.1999	Chin	
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/SD/		^{US-} 6351775	02.26.2002	Yu	,
/SD/		^{US-} 6091720	07.18.2000	Bedard et al.	
/SD/		us. 6078943	06.20.2000	Yu	
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Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,
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Attorney Docket Number | AESN3008CON1 Sheet 5

Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (f Anount)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear
/SD/		^{US-} 5453979	09.26.1995	Schibler et al.	
/SD/		^{US-} 5850395	12.15.1998	Hauser et al.	
/SD/		US- 5974463	10.26.1999	Warrier et al.	
/SD/		US- 6253193	06.26.2001	Ginter et al.	
/SD/		US- 6259699	07.10.2001	Opalka et al.	
/SD/		^{US-} 6157649	12.05.2000	Peirce et al.	
/SD/		US- 5754791	05.19.1998	Dahlgren et al.	Ì
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Examiner Cite No.'		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ		
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Sheet	6	af	17	Attorney Docket Number	AESN3008CON1	

		_	NON PATENT LITERATURE DOCUMENTS					
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			IBM, Packet Routing Switch PRS28G Product Sheet, Undated.					
			IBM, Packet Routing Switch PRS28G, Version 1.7 Datasheet, prs28.04.fm, February 6, 2001					
			IBM, IBM PowerNP™ NP4GS3 Network Processor Preliminary Datasheet, May 18, 2001					
$\overline{\mathbf{V}}$			IBM, Product Overview, IBM PowerNP NP4GS3, Network Processor Solutions, April 16, 2001					

Examiner Signature	/Suman Debnath/	Date Considered	09/05/2007
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 	 	Number-Kind Code ^{2 (V known)}			Figures Appear
/SD/		^{US-} 5850446	12.15.1998	Berger et al.	
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/SD/		ALAN RADDING, Storage ROI: Truth & Fiction, Infoworld Custom Meda Group, 2001	
		IETF, IP STORAGE WORKING GROUP, iSCSI Requirements and Design Considerations, Document: draft-letf-ips-iscsi-reqmts-05.txt, July 2001	
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	ļ	Number-Kind Code ^{2 (f brown)}			Figures Appear
/SD/		^{US-} 5,822,531	10-13-1998	Gorczyca et al.	
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		US- 6,470,389	10-22-2002	Chung et al.	
		^{US-} 6,493,318	12-10-2002	Bare	
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Examiner Signature	. /Suman Debnath/	Date Considered	09/05/2007
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9003000	10 10/10/11/14/3/7 10			Application Number	10/712,474
INF	ORMATION	N DIS	SCLOSURE	Filing Date	11.12.2003
STA	TEMENT I	BY A	PPLICANT	First Named Inventor	Pham, Duc
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	lose as meny an	9412 69 1	1800334197	Examiner Name	Debnath, Suman
Sheet	10	, of	17	Attorney Docket Number	AESN3008CON1

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
/SD/	ļ	YOSHIDA et al., Virtualization — The Promise and the Reality, Sun Microsystems White Paper, 2001	
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Examiner Signature	/Suman Debnath/	Date Considered	09/05/2007

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Substitute for form 1449/PTO	Complete if Known		
Substitute for form 1446/F FO	Application Number	10/712,474	
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INFORMATION DISCLOSURE	First Named Inventor	Pham, Duc	
STATEMENT BY APPLICANT	Art Unit	2196	
(Use as many sheets as necessary)	Examiner Name	Debnath, Suman	
Sheet 11 of 17	Attorney Docket Number	AESN3008CON1	

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 of known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear
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V		^{US-} 2003/0196114	10-2003	Brew et al.	·
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/SD/		WO 9900958	01-1999	LEVERIDGE et al	
/SD/		JP 08272667	10-1996	NAKAMURA, Y.	·
					
					

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ſ	Examiner Signature	/Suman Debnath/	Date Considered	09/05/2007

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Sheet 12

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Application Number	10/712,474				
Filing Date	11.12.2003				
First Named Inventor	Pham, Duc				
Art Unit	2196	··			
Examiner Name	Debnath, Suman				
Attorney Docket Number	AESN3008CON1				

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Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (V Anount)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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/SD/		US- 5,007,082	04-09-1991	Cummins	
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Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages	
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3005010		•		Application Number	10/712,474		
INF	ORMATIC	N DIS	CLOSURE	Filing Date	11.12.2003		
STA	STATEMENT BY APPLICAN	PPLICANT	First Named Inventor	Pham, Duc			
				Art Unit	2196		
			ecessary)	Examiner Name	Debnath, Suman		
Sheet	13	of	17	Attorney Docket Number	AESN3008CON1		

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
· /SD/		BERTINO et al., Advanced Transaction Processing in Multilevel Secure File Stores, 1998 IEEE	
/SD/		CATTANEO et al., The Design and Implementation of Transparent Cryptographic Filesystems for UNIX, June 2001	
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Examiner Signature	/Suman Debnath/	Date Considered	09/05/2007

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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Complete if Known Substitute for form 1449/PTO **Application Number** 10/712,474 Filing Date 11.12.2003 INFORMATION DISCLOSURE First Named Inventor Pham, Duc STATEMENT BY APPLICANT Art Unit 2196 (Use as many sheets as necessary) **Examiner Name** Debnath, Suman AESN3008CON1

Sheet 14 Attorney Docket Number

Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (d known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/SD/		^{US-} 6,202,157	03-13-2001	Brownlie et al.	
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Examiner Initials*	Cite No.	Foreign Patent Oocument	. Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
٠		Country Code ³ "Number ⁴ "Kind Code ⁴ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	7€
/SD/		WO 02/103498	12-27-2002	Gladstone		
/SD/		WO 01/137095	05-25-2001	Hollander		

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Substitute for form 1449/PTO	Co.	Complete if Known			
350331810 to 10111 14431 13	Application Number	10/712,474			
INFORMATION DISCLOSURE	Filing Date	11.12.2003			
	First Named Inventor	Pham, Duc			
STATEMENT BY APPLICANT	Art Unit	2196			
(Use as many sheets as necessary)	Examiner Name	Debnath, Suman			
Sheet 15 of 17	Attorney Docket Number	AESN3008CON1			

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Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 of known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
/SD/		^{US-} 5,321,841	06-14-1994	East et al.	
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1/		^{US-} 5,680,461	10-21-1997	McManis	
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Examiner Date /Suman Debnath/ 09/05/2007 Considered Signature

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Pham, Duc

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 16

Examiner Name Debnath, Suman Attorney Docket Number AESN3008CON1

Art Unit

First Named Inventor

Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (F Anoun)}	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		^{US-} 5,941,947	08-24-1999	Brown et al.	
		us- 5,825,890	10-20-1998	Elgamal et al.	
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	Cite No.1	No.1 Foreign Patent Document	Cite Foreign Patent Document Publication No.1 Date MM-DD-YYYY	No.1 Date Applicant of Cited Document	

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Sheet 17

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known **Application Number** 10/712,474 Filing Date 11.12.1991 First Named Inventor Pham, Duc Art Unit 2196 Examiner Name Debnath, Suman Attorney Docket Number | AESN3008CON1

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